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## A Genetic Algorithm-Based Approach for Process Scheduling In Distributed Operating Systems

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### Abstract

A Distributed Computing System comprising networked heterogeneous processors requires efficient process allocation algorithms to achieve minimum turnaround time and highest possible throughput. To efficiently execute processes on a distributed system, processes must be correctly assigned to processors and determine the execution order of processes so that the overall execution time is minimized. Even when target processors are fully connected and the communication among processors is fast and no dependencies exist among processes the scheduling problem is NP-complete. Complexity of scheduling problem dependent of number of processors, process execution time and the processor network topology. As distributed systems exist in kinds of homogeneous and heterogeneous, in heterogeneous systems the difference between processors leads to different execution time for an individual process on different processors and makes scheduling problem more complex. Our proposed genetic algorithm is applicable for both homogeneous and heterogeneous kinds.

**Keywords:** Distributed systems, homogeneous, heterogeneous, scheduling and genetic algorithm.

### 1. Introduction

Scheduling plays a significant role in overall efficiency in a Distributed Computing System (DCS). In such systems each process has its own characteristics like execution time. Scheduling in distributed systems has known as a NP-complete problem. So solving

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this problem using usual algorithms seems difficult. GAs have been applied to the optimization of many kind of problems , such as traveling salesman problem , job-shop scheduling and flow-shop scheduling , machine learning , VLSI technology , genetic synthesis and etc[11].

A genetic algorithm comprises these parts: encoding problem, genetic operators such as Crossover and Mutation, Fitness function and selection mechanisms .The proposed algorithm in this paper is applicable for both homogeneous and heterogeneous distributed systems. The reminder of this paper is organized as follows: In the next section the general model of a distributed system is defined. In the section 3 first we have an overview on GA and then we design a GA for the process scheduling problem .In section 4 experiment results are shown.

## 2. System Model and Definitions

In order to schedule the processes in a distributed system, we should know the information about the input processes and distributed system itself such: Network topology, processors speed, communication channels speed and so on .Since obtaining such information is beyond the scope of this paper, so we suppose that the required information is available and a deterministic model is assumed. In a distributed system there are  $m$  processors,  $m > 1$ . The followings are defined for the model.

$P = \{p_1, p_2, p_3, \dots, p_m\}$  is the set of processors in the distributed system.  $R$  is a  $m \times m$  matrix, where the element  $r_{u,u}$   $1 \leq u, u \leq m$  of  $R$ , is the communication delay rate between  $p_u$  and  $p_v$ .  $A$  is a  $n \times m$  matrix, where the element  $a_{ij}$   $1 \leq i \leq n$  ,  $1 \leq j \leq m$  of  $A$ , is the execution time of process  $i$  on processor  $p_j$ .  $L$  is an  $n \times m$  matrix, where the element  $l_{ij}$   $1 \leq i \leq n$  ,  $1 \leq j \leq m$  of  $L$ , is the latency time for process  $i$  to start execution on processor  $p_j$ .  $D$  is linear matrix,

where the element  $d_i$   $1 \leq i \leq n$  of D, is the data volume for process  $i$  to be transmitted, when process  $i$  is to be executed on a remote processor.

S is an  $m \times m$  matrix, where the element  $S_{u,v}$   $1 \leq u, v \leq m$  of S, is the time required to transmit a unit of data from  $p_u$  to  $p_v$ . A chromosome consists of  $n$  digits, where  $n$  is the number of processes. A digit can take any one of the  $1..m$  values, which shows the processor that the process is assigned to. The fitness function defined as inverse of Total Run Time (TRT)  $fitness = \frac{1}{Total\ Run\ Time} = \frac{1}{TRT}$ .

The total run time for  $n$  processes is obtained by equation:

$TRT = \max(r_{c[i]f} + S_{c[i]f} * d_i + l_{if} + a_{if})$ ,  $1 \leq i \leq n$  that  $c[i]$  is the processor that the process  $i$  is present on it just now and  $f$  is the target processor that is selected for process  $i$  to be executed on.

C is linear matrix, where the element  $c_i$   $1 \leq i \leq n$  of C, is the processor that the process  $i$  is present on it just now. In homogeneous distributed systems the execution time of an individual process  $i$  on all processors is equal each other, that means:

$$1 \leq i \leq n \quad a_{i1} = a_{i2} = \dots = a_{im}$$

A data structure STATUS associated with every processor, which has two fields, showing the maximum no. of the processes that can be allocated to this processor and the memory capacity of that machine.

### 3. Genetic Algorithms

A genetic algorithm initiates biological evolutionary theories to solve optimization problems. A GA includes a set of individual elements (the population) and a set of biological imitated operators defined over the population itself. According to the evolutionary theories, only the most fitted elements in a population are probable to survive and generate offspring, thus transmitting their biological heredity to new

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generations [14]. In computing terms, a genetic algorithm map a problem onto a set of (typically binary) strings i.e. 0s and 1s. When a problem is to be solved by a genetic algorithm, solution must be encoded to a chromosome .A chromosome comprises a set of values called gens, which each gen shows one characteristic of solution. Each chromosome is an individual of population, so to solve any problem with GA, the problem should easily map to a population of binary strings.

Each solution is associated with a fitness value that reflects how good it is. The survival of the individual depends on its fitness value. The higher the fitness value, the more is the chance to survive. Genetic operators such as crossover and mutation are used to create the subsequent generation from the strings of the current population [12].

The fitness function in a genetic algorithm is the objective function that is to be optimized. The simplest form of GA involves three types of operators: selection, crossover and mutation [15]. The structure of genetic algorithm is a loop composed of a selection followed by a sequence of crossovers and mutations. Probabilities of crossover and mutation are constants and fixed in the beginning. Finally, genetic algorithm is executed until some termination condition is achieved, such as the number of iterations, execution time, results stability, etc.

**Selection:** The operator selects chromosomes in the population for reproduction. The fitter the chromosome, the more time it is likely to be selected to reproduce.

**Crossover:** It is generally used to exchange portions between strings. The operator randomly chooses a locus and exchanges the subsequences before and after that locus between strings. Crossover is not always effected .The invocation of the crossover depends on the probability of the crossover.

**Mutation:** It is used to change the gens in a chromosome. Mutation replaces value of a gen with a new value from defined domain for that gen . Mutation can occur in each digit position in a string with some very small probability.

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### 3.1. A Genetic Algorithm for distribute processes on processors

The proposed algorithm is configured as follows:

1) Randomly Generate a population of few chromosomes (about 10 chromosomes); verify STATUS for all processors, calculate value of fitness for generated chromosomes and take the one with highest value , save the highest calculated fitness .

from now on , the highest fitness calculated in (#1) considered as threshold limit and any chromosome below the threshold will be rejected and not included in population.

2) Generate the initial population of chromosomes; All chromosomes that generated in this step must have fitness value greater than the threshold limit calculated in ( #1 ). In this step size of population is between 50...100 chromosomes.

3) SELECT: probability of selection of parent is linearly dependent on the fitness value.

- i.e.  $ax + b$  , that  $x$  is fitness value of chromosome  $x$  ,and  $a, b$  are arbitrary values.

4) Perform Crossover with probability of crossover ( $P_c$ ) , and Mutation with probability of mutation ( $P_m$ ) ; that these operation are performed on randomly chosen positions of selected chromosomes .

5) If number chromosomes is less than 1000 go to (#3).

6) Pick up a subpopulation of chromosomes randomly, using the probability of selection as in SELECT. The size of this population can be between 10 and 20.

7) The chromosome with highest fitness value represents the allocation and is our answer.

**3.1.1. Description of SELECT:** To make SELECT operator as efficient as possible ,the probability of selection must be as accurate as possible .One way is to make  $p_i$  copy from chromosome  $i$  and include in population , which  $p$  is proportional to the probability

of selection of  $i$ th chromosome. Thus, the total number of chromosomes (copies included) will be:

$$T = \sum_{i=1}^n p_i \quad (1)$$

As we see this method requires much memory to store chromosomes.

Another way, to save memory, is to attach a field with each new chromosome generated. We store in this extra field an integer value proportional to fitness of chromosome. So when value  $x$  has been stored in this field, it means that chromosome represents 'X' copies of the chromosome.

When we want to randomly select a chromosome, we generate a random number from  $1$  to  $(X_1 + X_2 + \dots + X_n)$ , where  $X_i$  is the number in the field associated with the  $i$ th chromosome. Let us say, the number generated is  $Y$  and  $X_1 + X_2 + \dots + X_k < Y < X_1 + X_2 + \dots + X_{k+1}$ .

Thus the chromosome selected is  $X_{k+1}$ th chromosome.

#### 4. Experiment Results

The GA presented in this paper was implemented and tested in a DHOS as well as in a DHES. The experiments were performed on a Sun-space workstation. The control parameters used in our experiments are as follows:

- crossover probability : 0.9
- mutation probability : 0.3
- initial population size : 75
- number of processes : 28
- number of processors : 5

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## Results

(1) On running the above example, we obtained the following results:

The selected chromosome is 3544555514422442513151111144

/\* i.e. process 1 is allocated to processor 3, process 2 to processor 5, process 3 to processor 4 and so on \*/

Time required by the program is 3 seconds.

(2) In another example, considering 6(six) processors and 39 processes

And their corresponding matrices, we obtained the following results:

Selected chromosomes is

546225655334323336314526611211115366624

Time required by the program is 3 seconds.

(3) In the third example, considering 8(eight) processors and 50 processes

and corresponding matrices, we obtained the following results:

Selected chromosomes is 672455823155554583216717715644

Time required by the program is 4 seconds.

## Conclusion

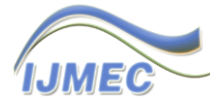
To schedule processes in a distributed system, first processes must be assigned to processors; after that any common non distributed scheduling algorithm such as *Round Robin*, *Sjf*, *HRRN*, ... can be applied on each individual processor. The problem discussed in this paper is how to distribute processes on processors. As distributing processes on processors in a distributed system is a NP-complete problem, using usual methods is not suitable. So to solve this problem a genetic algorithm is proposed. The execution and communication costs have been taken into account, which play a vital role for a process allocator in a distributed operating system.

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